

## Guidelines for Clients of Commodity Futures Brokers

Do's	Don't's
<ol style="list-style-type: none"> <li>1. Verify the authenticity of a Broker and its branches from the list of registered brokers from PMEX website (<a href="https://www.pmax.com.pk/pmax-broker">https://www.pmax.com.pk/pmax-broker</a>)</li> <li>2. Carefully read and understand the terms and conditions along-with Risk Disclosure Document</li> <li>3. Ensure that all information is accurately filled in the Account Opening Form and a signed copy of the form is retained for future reference</li> <li>4. In case of any change in information provided in the Account Opening Form, immediately communicate in writing to Broker</li> <li>5. Only deposit payments to PMEX through cross cheque or online transfer from your own bank account registered with PMEX</li> <li>6. Ensure that Broker sends daily, weekly, monthly account balance and activity statements to know the trade activity and cash balances in the trading account</li> <li>7. Ensure that Broker sends SMS alerts for trades and cash movement in the trading account</li> <li>8. Approach PMEX in case of any complaint that remains unresolved by the Broker</li> </ol>	<ol style="list-style-type: none"> <li>1. Do not deal with Brokers or their branches not registered with PMEX</li> <li>2. Do not give wrong, contradictory or incomplete information in the Account Opening Form</li> <li>3. Do not issue cross cheque pay order demand draft or any other instrument in the name of Broker, or any of its employee/authorized representative</li> <li>4. Do not deposit payments in cash in your account</li> <li>5. Do not deposit payments through third party cheque or online transfer from third party account</li> <li>6. Do not get misled by alluring advertisements, rumors, hot tips or the promises of assured returns by the Brokers or their authorized representatives</li> <li>7. Do not share personal ID and password provided by the Exchange with the Brokers or their authorized representatives</li> <li>8. Do not surrender the right of receiving cash and trade balances reports via email and SMS</li> <li>9. Do not start trading before reading and understanding the Risk Disclosure Document provided by PMEX</li> <li>10. Do not give deposit by whatever name called, to any Broker or any of its employee/ authorized representative against fixed or guaranteed returns on deposits as the same is illegal and any claim in respect of such deposits would not be considered/entertained by PMEX</li> </ol>